

Practitioner's Docket No. D-1116R1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): J. Richard Hanna; Randall W. Jenkins; Mark A. DePietro; Alan J. Rozlosnik; Robert V. Artino; Steven R. Davis

For (title): **AUTOMATED MERCHANT BANKING APPARATUS AND METHOD**

1. **Type of Application**

This transmittal is for an original (nonprovisional) application.

2. **Papers Enclosed**

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

**CERTIFICATION UNDER 37 C.F.R. 1.10\***

(Express Mail label number is **mandatory**.)

(Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 9/30/99, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL222130745US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Ralph E. Jocke

(type or print name of person mailing paper)

Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

69 Page(s) of Specification  
6 Page(s) of Claims  
55 Sheet(s) of Drawing(s)

**B. Other Papers Enclosed**

4 Page(s) of declaration and power of attorney  
1 Page(s) of abstract

**3. Declaration or Oath**

Enclosed

Executed by:

● inventors.

**4. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**5. Language**

English

**6. Assignment**

An assignment of the invention to Diebold, Incorporated is attached. A separate FORM PTO 1595 is also attached.

**9. Method of Payment of Fees**

Charge Account No. 09-0428 (InterBold) in the amount of \$898.00

A duplicate of this transmittal is attached.

**10. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 09-0428.

37 C.F.R. 1.16(a), (f) or (g) (filing fees)

37 C.F.R. 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. 1.17 (application processing fee)

**11. Instructions as to Overpayment**

Credit Account No. 09-0428 (InterBold).

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATIONS CLAIMED**

**12. Relate Back**

Amend the specification by inserting<sup>✓</sup>, before the first line, the following sentence:

**A. 35 U.S.C. § 119(e)**

[ This application claims the benefit of U.S. Provisional Application Nos.:

**APPLICATION NO.**

**FILING DATE**

60/109,941

11/25/1998

60/135,720

05/25/1999

7. **Fee Calculation (37 C.F.R. 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$760.00
Total Claims (37 CFR 1.16(c))	21	- 20 =	1 x	\$18.00	\$18.00
Independent Claims (37 CFR 1.16(b))	1	- 3 =	0 x	\$78.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$260.00	

Filing Fee Calculation

\$778.00

Regular Filing  
Fee Calculation

8. **Fee Payment Being Made at This Time**

Enclosed

Filing Fee

\$778.00

Basic Filing Fee Number

Recording assignment  
(\$40; 37 C.F.R. 1.21(h))  
(See attached "COVER SHEET FOR  
ASSIGNMENT ACCOMPANYING NEW  
APPLICATION".)

\$120.00

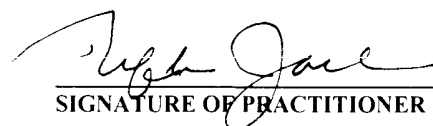
**Total Fees Enclosed**

**\$898.00**

13. **Maintenance of Copendency of Prior Application**

14. **Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

- a. The inventorship for all the claims in this application is the same.



SIGNATURE OF PRACTITIONER

Reg. No. 31,029  
Tel. No.: (330) 721-0000

Ralph E. Jocke  
Walker & Jocke  
231 South Broadway  
Medina, OH 44256